

1-7-02

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Practitioner's Docket No. 401045-A-01-US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): Randall Budd Kramer

For (title): REDUNDANT NETWORK CONTROLLER MANAGEMENT SYSTEM

1. Type of Application

This transmittal is for an original (nonprovisional) application.

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CERTIFICATION UNDER 37 C.F.R. SECTIONS 1.8(a) AND 1.10\*

(When using Express Mail, the Express Mail label number is mandatory;  
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

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deposited with the United States Postal Service in an envelope addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.  
37 C.F.R. Section 1.8(a)

37 C.F.R. Section 1.10\*

with sufficient postage as first class mail.  as "Express Mail Post Office to Address"  
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Date: January 4, 2002

  
Signature

Evon M. Davis  
(type or print name of person certifying)

\*WARNING: Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. Section 1.10(b).

"Since the filing of correspondence under [Section] 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

**2. Papers Enclosed**

A. Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design) Application

15 Page(s) of Specification

5 Page(s) of Claims

5 Sheet(s) of Drawing(s)--Formal

**B. Other Papers Enclosed**

3 Page(s) of declaration and power of attorney

1 Page(s) of abstract

**3. Declaration or Oath**

Enclosed

Executed by:

\* inventor.

**4. Inventorship Statement**

The inventorship for all the claims in this application is the same.

**5. Language**

English

**6. Assignment**

An assignment of the invention to Avaya Technology Corp. is attached. A separate "COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION" is also attached.

**7. Fee Calculation (37 C.F.R. Section 1.16)**

Regular Application

**CLAIMS AS FILED**

Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$710.00
Total Claims (37 CFR 1.16(c))	9	- 20 =	0 x	\$18.00	\$0.00
Independent Claims (37 CFR 1.16(b))	4	- 3 =	1 x	\$80.00	\$80.00
Multiple Dependent Claim(s), if any (37 CFR 1.16(d))			+	\$270.00	\$0.00
Filing Fee Calculation					\$790.00

**8. Fee Payment Being Made at This Time**

Enclosed

Filing Fee \$790.00

Recording assignment (\$40; 37 C.F.R. Section 1.21(h)) (See attached  
"COVER SHEET FOR ASSIGNMENT ACCOMPANYING NEW  
APPLICATION".)

**Total Fees Enclosed** \$830.00

**9. Method of Payment of Fees**

Charge Account No. 50-1602 in the amount of \$830.00.

**10. Authorization to Charge Additional Fees**

The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 50-1602.

37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)

37 C.F.R. Section 1.16(b), (c) or (d) (presentation of extra claims)

37 C.F.R. Section 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to SECTION 1.136(a))

37 C.F.R. Section 1.17 (application processing fees)

**11. Instructions as to Overpayment**

Credit Account No. 50-1602.

Date: 01/04/02

  
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Signature of Practitioner

Reg. No.: 38,663

Tel. No.: 303-379-1118

Phyllis K. Wood

Customer No.: 024283